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**RESPONSE UNDER 37 C.F.R. §1.116
EXPEDITED PROCEDURE - EXAMINING GROUP [1700]**

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application

Inventors: Robert J. Sinaiko; Charles E. Taylor
Appl. No.: 10/074,103
Confirm. No.: 8118
Filed: February 12, 2002
Title: ELECTRO-KINETIC AIR TRANSPORTER-
CONDITIONER DEVICES WITH AN
ENHANCED COLLECTOR ELECTRODE FOR
COLLECTING MORE PARTICULATE MATTER

PATENT APPLICATION

Art Unit: 1711
Examiner: Thao T. Tran

Customer No. 23910

CERTIFICATE OF MAILING UNDER 37 C.F.R. § 1.8

I hereby certify that this correspondence is being deposited in the United States Postal Service with sufficient postage as first class mail in an envelope addressed to **Mail Stop AF, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450**, on March 29, 2004.

(Signature)

Michael L. Robbins, Reg. No. 54,774

Signature Date: March 29, 2004

RESPONSE TRANSMITTAL LETTER

Mail Stop AF
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Transmitted with this communication in connection with the above-identified application are the following:

- ☒ A Response Under 37 C.F.R. §1.116 to the Office Action dated December 2, 2003.
- ☒ A Petition for an Extension of Time Under 37 C.F.R. §1.136.
- ☒ Applicant Initiated Interview Request Form
- ☒ Statement of the Substance of the Interview Under 37 C.F.R. §1.2

The fee associated with this communication has been calculated as shown below:

✓ A fee for extension of time for response under 37 C.F.R. §1.136 filed within 1 month after the original time for response of \$110.00 is due.

FEES	Claims Remaining After Amendment	Highest Number Previously Paid For	Present Extra	Rate Small Entity/Other Than Small Entity		Total
TOTAL CLAIMS (37 CFR 1.16(c))	<u>4</u> * -	<u>28</u> **	<u>0</u>	X	\$ 9.00	
				X	\$ 18.00	\$ -0-
INDEPENDENT CLAIMS (37 CFR 1.16(b))	<u>2</u> * -	<u>4</u> ***	<u>0</u>	X	\$ 43.00	
				X	\$ 86.00	\$ -0-
FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM PRESENT (37 CFR 1.16(d))				+	\$145.00	
				+	\$290.00	\$
				TOTAL		\$ -0-

* If the entry in column 1 is less than the entry in column 2, write "0" in column 3.

** If the "Highest Number of Previously Paid For" in this space is less than 20, enter "20".

*** If the "Highest Number Previously Paid For" in this space is less than 3, enter "3".

The total fee required with this communication is \$110.00 and is to be paid as follows:

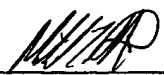
 Please charge Deposit Account No. 06-1325 in the amount of \$. A duplicate copy of this authorization is enclosed.

✓ A check in the amount of \$110.00 is enclosed.

✓ The Commissioner is hereby authorized to charge any deficiencies or credit overpayment to Deposit Account No. 06-1325. A duplicate copy of this authorization is enclosed.

Respectfully submitted,

Date: 3/29/04

By: 
Michael L. Robbins
Reg. No. 54,774

FLIESLER MEYER LLP
Four Embarcadero Center, Fourth Floor
San Francisco, California 94111-4156
Telephone: (415) 362-3800



Applicant Initiated Interview Request Form

Application No.: 10 / 074,103 First Named Applicant: Robert J. Sinaiko
Examiner: Thao T. Tran Art Unit: 1711 Status of Application: FINAL

Tentative Participants:

- (1) Thao Tran (2) Sheldon Meyer
(3) Mike Robbins (4) _____

Proposed Date of Interview: 3/15/04 Proposed Time: 2 (AM/PM) EST

Type of Interview Requested:

- (1) ☒ Telephonic (2) ☐ Personal (3) ☐ Video Conference

Exhibit To Be Shown or Demonstrated: ☐ YES ☒ NO

If yes, provide brief description: _____

Issues To Be Discussed

Issues (Rej., Obj., etc)	Claims/ Fig. #s	Prior Art	Discussed	Agreed	Not Agreed
(1) <u>Rej.</u>	<u>11/5H</u>	<u>Taylor 5,975,090</u> <u>Lee 4,789,801</u>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
(2) _____	_____	_____	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
(3) _____	_____	_____	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
(4) _____	_____	_____	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

☐ Continuation Sheet Attached

Brief Description of Arguments to be Presented:

The electrode configurations of the prior art do not teach electrodes
configured - as in Fig. 5H - to redirect flow in different directions so as to conform
to a geometry of an outlet vent. This configuration has the additional benefit of decreasing flow
thereby increasing the particle collection ability of the trailing section.
An interview was conducted on the above-identified application on _____.

NOTE:

This form should be completed by applicant and submitted to the examiner in advance of the interview (see MPEP § 713.01).

This application will not be delayed from issue because of applicant's failure to submit a written record of this interview. Therefore, applicant is advised to file a statement of the substance of this interview (37 CFR 1.133(b)) as soon as possible.

[Signature]
(Applicant/Applicant's Representative Signature)

(Examiner/SPE Signature)



**RESPONSE UNDER 37 C.F.R. §1.116
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(Signature)

Michael L. Robbins, Reg. No. 54,774

Signature Date: March 29, 2004

**STATEMENT OF THE SUBSTANCE OF THE INTERVIEW
UNDER 37 C.F.R. § 1.2**

Mail Stop AF
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

This STATEMENT OF THE SUBSTANCE OF THE INTERVIEW is submitted in compliance with 37 C.F.R. § 1.2, and is intended to be a complete and proper recordation of the interview conducted on March 15, 2004.

Remarks

This Summary of the Interview is submitted in compliance with 37 C.F.R. § 1.2, and is intended to be a complete and proper recordation of the interview conducted on March 15, 2004, for the above-identified patent application.

I. SUBSTANCE OF THE INTERVIEW SUMMARY

A. Brief description of the nature of any exhibit shown or demonstration conducted

The interview was conducted telephonically and did not include an exhibit or demonstration.

B. Identification of the claims discussed

As indicated in the APPLICANT INITIATED INTERVIEW REQUEST FORM (Form PTOL-413A), Claim 11 was primarily discussed.

C. Identification of specific prior art discussed

As indicated in the APPLICANT INITIATED INTERVIEW REQUEST FORM (Form PTOL-413A), U.S. Pat. No. 5,975,090 to Taylor, and U.S. Pat. No. 4,789,801 to Lee were discussed with regards to Claim 11.

D. Identification of the principal proposed amendments of the Applicant

No amendments were proposed.

E. General thrust of the principal arguments of the Applicant and the Examiner

The Applicant argued that the electrode configurations of the prior art do not teach electrodes configured - as in Fig. 5H - to redirect flow in different directions so as to conform to a geometry of an outlet vent. This configuration has the additional benefit of decreasing flow, thereby increasing the particle collection ability of the trailing section.

F. Other pertinent matters discussed

No other matters were discussed.

G. Outcome of the interview

Examiner Tran asked that proposed amendments be submitted.

II. CONCLUSION

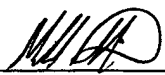
The Applicant respectfully requests that this STATEMENT OF THE SUBSTANCE OF THE INTERVIEW be entered and that it reflect compliance with 37 C.F.R. § 1.2, which requires that a complete written statement as to the substance of the interview be made of record.

The Applicant further requests that the RESPONSE B TO OFFICE ACTION UNDER 37 C.F.R. § 1.116 filed herewith be entered and that a Notice of Allowance be provided. The Examiner is respectfully requested to telephone the undersigned if he can assist in any way in expediting the issuance of a patent.

The Commissioner is authorized to charge any underpayment or credit any overpayment to Deposit Account No. 06-1325 for any matter in connection with this response, including any fee for extension of time, which may be required.

Respectfully submitted,

Date: 3/29/04

By: 
Michael L. Robbins
Reg. No. 54,774

FLIESLER MEYER LLP
Four Embarcadero Center, Fourth Floor
San Francisco, California 94111-4156
Telephone: (415) 362-3800